

# TRANSMITTAL FORM

Application Serial Number	09/338,063
Filing Date	June 23, 1999
First Named Inventor	Goto et al.
Group Art Unit	1644
Examiner Name	Ewoldt, G.
Attorney Docket No.	FJN-060DV2 (3999/63)

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Check Attached
  - ☐ Copy of Fee Transmittal Form
- ☒ Amendment/Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
  - ☐ Letter to Official Draftsperson including Drawings [Total Sheets \_\_\_\_\_]
- ☐ Extension of Time Request
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application

- ☐ Copy of Notice to File Missing Parts of Application (PTO-1553)
- ☐ Formal Drawing(s)
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ To Convert to a Provisional Application
- ☐ Power of Attorney (Revocation of Prior Powers)
- ☐ Terminal Disclaimer
- ☐ Executed Declaration and Power of Attorney for Utility or Design Patent Application
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (*Appeal Notice, Brief, Reply Brief*)
- ☐ Status Letter
- ☒ Return Receipt Postcard
- ☒ Certificate of First Class Mailing
- ☒ Additional Enclosure(s) (*please identify below*)
  - (i) Transmittal of Submission of Substitute Specification
  - (ii) Substitute Specification
  - (iii) Marked up Substitute Specification

## CORRESPONDENCE ADDRESS

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## SIGNATURE BLOCK

Date: May 9, 2000  
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Respectfully submitted,

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Rmoore3999/63.991089-1



PATENT  
Attorney Docket No. FJN-060DV2  
(3999/63)


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Goto et al.  
SERIAL NO.: 09/338,063  
FILING DATE: June 23, 1999  
TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE PROTEINS

GROUP NO.: 1644  
EXAMINER: Ewoldt, G.

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 9th day of May, 2000.

  
Julie Westhaver

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Certificate of First Class Mailing (1 pg.); Transmittal Form (1 pg.);  
Transmittal of Submission of Substitute Specification (2 pgs.); Substitute  
Specification (62 pgs.); Marked-up Substitute Specification (87 pgs.);  
Amendment and Response (3 pgs.); and postcard.



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PATENT  
Attorney Docket No. FJN-060DV2  
(3999/63)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Goto et al.

SERIAL NO.: 09/338,063 GROUP NO.: 1644

FILING DATE: June 23, 1999 EXAMINER: Ewoldt, G.

TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE  
PROTEINS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

SUBMISSION OF SUBSTITUTE SPECIFICATION  
UNDER 37 C.F.R. 1.125

(check and complete this item, if applicable)

1. ☒ This replies to the Office Action mailed from the Patent Office on February 10, 2000.
2. Submitted herewith is/are
  - A. ☒ a substitute Specification, excluding the claims, drawings and sequence listing, reflecting the amendments, now entered, presented in the Preliminary Amendment filed June 23, 1999 for this application; pagination in the substitute Specification does not directly correspond with pagination in the specification as originally filed;
  - B. ☒ a marked-up copy of the substitute Specification showing the matter being added to and the matter being deleted from the specification of record.

**STATEMENT**

3. I hereby state that:

☒ the substitute Specification includes no new matter.

Respectfully submitted,

Date: May 9, 2000  
Reg. No. 44,244

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